Oxo- and Imino-functionalized 1,2-Oxazetidines. An Overview Dietrich Moderhack

Institut für Pharmazeutische Chemie der Technischen Universität Braunschweig, D-W-3300 Braunschweig, Beethovenstrasse 55, Germany Received November 18, 1992

This review article describes syntheses and reactions of 1,2-oxazetidines that have an oxo or (substituted) imino group attached to either C-3 or C-4. The rare case of a bifunctional derivative is also treated. In a final chapter, spectroscopic properties are surveyed.

J. Heterocyclic Chem., 30, 579 (1993).

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Introduction.

1,2-Oxazetidine chemistry – thus far an object of limited attention [1] – has developed within two distinct fields. The first of these can be said fluoroorganic, and studies were well advanced at the time of a previous review [2]. In the second area, target of this survey, the general systems

1-4 (including the rare type 5) are encountered. Their chemistry is of more recent date, although structures such as 1 and 2 are known since 1911 [3]. Outside these two regions remarkably few 1,2-oxazetidines exist [4] and some structural claims [5] have even been rejected [6].

The following pages, with their focus on 1-5, are meant to be illustrative rather than encyclopedic.

I. Synthesis.

A. 1,2-Oxazetidin-3-ones 1.

1. Cyclization of α -Functionalized Hydroxamic Acids.

On reacting α-chlorodiphenylacetyl chloride with both N-alkyl- [7] and N-arylhydroxylamines [3,7], the respective oxazetidinones, e.g. 1Aa,b,d, have been obtained Scheme 1

CDI = 1,1'-carbonyldiimidazole DPS = 2,2'-dipyridyl sulfite

1A: R¹/R²/R³/method, yield (%) [reference] [a]

- a Ph/Ph/Ph/a, 100 [3], 33 [7]
- **b** Me/Ph/Ph/a, 90 [7]; c, 70 [11a]
- e i-Pr/Ph/Ph/c, 82 [11a]
- **d** c-C₆H₁₁/Ph/Ph/a, 30 [7]; c, 70 [11a], 95 [11d]
- e t-Bu/4-MeC₆H₄/4-MeC₆H₄/c, 96 [1d]
- $f = CH_2Ph/4-MeC_6H_4/4-MeC_6H_4/c$, 97 [11d]
- $g = CH(Me)Ph/4-MeC_6H_4/4-MeC_6H_4/c, 85 [10a]$
- **h** $CH_2Ph/4-MeOC_6H_4/4-MeOC_6H_4/b$, 92 [9]; c, 96 [10a]
- i $CHPh_2/4-MeOC_6H_4/4-MeOC_6H_4/b$, 42 [10a]
- Me/2-thienyl/2-thienyl/c, 86 [11b]
- $1 c-C_6H_{11}/3$ -thienyl/3-thienyl/c, 96 [11d]
- $c-C_6H_{11}/4-ClC_6H_4/4-ClC_6H_4/c$, 42, 95 [11d]
- \mathbf{m} Me/4-ClC₆H₄/4-ClC₆H₄/d, 83 [12]
- Me/2-thienyl/CH₂Ph/c, 83 [11b]
- $\mathbf{p} = CH_2Ph/4-MeOC_6H_4/Me/c, 81 [12]$
- **q** Me/c-C₃H₅/4-ClC₆H₄/c, 54 [12]; d, 89 [12]
- **P** Me/Ph/Me/d, 83 [12]
- **8** $CH(c-C_3H_5)Me/4-ClC_6H_4/Me/d, 75 [12]$
- $t = c C_6 H_{11} / Ph/c C_3 H_5 / d$, 82 [12]
- $u = CH_2Ph/4-PhOC_6H_4/Me/d$, 79 [12]
- v Me/3-thienyl/H/c, 32 [11c]
- w PhC(=NOH)CMe₂/H/H/a, 54 [8]

[a] Underlined yield figures: addition of freshly regenerated molecular sieves $(4\dot{A})$ to $7/7^{\circ}$.

$$R^{1} \qquad R^{2} \qquad MeNH-CO-CO-R$$

$$R^{2} \qquad MeNH-CO-CO-R$$

$$R^{1} = Ph, R^{2} = Me$$

$$R^{1} = Ph, R^{2} = Me$$

$$R^{1} = CH_{2}Ph, R^{2} = 4-MeOC_{6}H_{4}$$

$$R^{2} = Ph$$

(Scheme 1, method a). The intermediary α -chloro hydroxamic acids $\mathbf{6}$ (X = Cl) were not isolated. This kind of ring closure also led to the 4-unsubstituted derivative $\mathbf{1Aw}$ [8]. However, attempts to cyclize 2-bromo-2-methyl-N-phenyl-propiohydroxamic acid ($\mathbf{6}$, R^1 = Ph, R^2 = R^3 = Me, X = Br) by treatment with alkali hydroxide gave rise to the formation of compound $\mathbf{8a}$ [7]. Ring closing of α -hydroxy substituted hydroxamic acids $\mathbf{6}$ (X = OH) by intramolecular elimination of water was feasible with derivatives having for R^2 and R^3 electron-releasing ligands such as 4-MeOC₆H₄ (method b; $\mathbf{1Ah}$,i); molecular sieves (4 Å) [9] and anhydrous hydrogen chloride [10a] proved effective.

Yet, a major improvement in making 1 – discovered accidentally [11a] – has turned out to be initial transformation of $\mathbf{6}$ (X = OH) into the six-membered ring $\mathbf{7}$ or $\mathbf{7}$. These compounds, under conditions depending upon \mathbf{R}^2 and \mathbf{R}^3 , more or less readily loose carbon and sulfur dioxides, respectively, to give the desired oxazetidines $\mathbf{1}$ in good yield (methods c,d) [10a,11,12]. The final step bears a formal resemblance to the thermolytic ring contraction of 1,2-oxazinane-3,6-diones to β -lactams [13]. Handiness of the procedure, in conjunction with facile access to the precursors $\mathbf{6}$ (X = OH), has ultimately led to a plethora of $\mathbf{1}$, only part of which can be mentioned here. Two main conclusions have been reached:

- (i) Liberation of carbon dioxide from 7 is greatly favored by substituents R² and R³ that have a + M effect. Thus, while 7b-d [11a] and 7m [11d] require prolonged heating to 110°, ring contraction of 7e.f.1 [11d], 7g.h [10a] and 7k [11b] proceeds at room temperature (substituents R¹-R³ as in 1). When $R^2 = R^3 = 4$ -MeOC₆H₄ or 2-and 3-thienyl and also in the case $R^2 = 2$ -thienyl/ $R^3 = Ph$, this transformation occurs so rapidly that the intermediate 7 eludes detection [11b,d]. Since free imidazole (which is still present in the reaction mixture) causes ring expansion of the product 1Ai particularly fast (see Section II.A.2.), this compound is not available by method c. Oxazetidines 1 that have only one electron-donating substituent such as 1Ao [11b] and 1Ap [12] are also formed under relatively mild conditions. This is likewise true of 1Aq because here the activating influence of the cyclopropyl group far outweighs the opposite effect of the 4-ClC₆H₄ ligand [12].
- (ii) Replacing carbon with sulfur in the structural unit -0-C(0)-0- of 7 renders the six-membered heterocycle

much more prone to ring contraction [12]. In contrast to 7m (see above), the (elusive) intermediate 7'n reacts within several minutes at room temperature [12]. Moreover, an oxazetidine such as 1Ar - whose preparation failed by method c (because of thermal stability of 7r) [11a] - could readily be obtained via the sulfite 7'r; only a strong electron-withdrawing group such as 4-O₂NC₆H₄ at ring carbon of 7' proved an obstacle to oxazetidine formation [12]. A further advantage of method d is the non-basic reaction medium. This, in contrast to variant c, allows the synthesis of 2-benzhydryl substituted members 1 (1Ai and congeners) [12]. On the other hand, acidic workup may give rise to ring opening as observed with 1Ap which under the conditions of method d gave the amide 8b [12].

4-Monosubstituted oxazetidines of the 1A series have been prepared only once, 1Av, and here a considerable amount of the α -oxoamide **9a** was found as by-product (the latter has been shown not to arise from the four-membered ring) [11c]. Interestingly, analogous amides, viz. 9b [11c] and 9c [14] were the sole materials isolated on thermolysis of the appropriate heterocycles 7. The preparation of 2-aryl substituted oxazetidines 1 by either method c or d has not been reported yet. As regards the mechanism, the transformation of 7 into 1 has been discussed at length [9]. Beyond hydrogen chloride and imidazole, molecular sieves have been found to act as catalyst. Use has been made of the latter which allows certain ring contractions of 7/7' to occur under milder conditions (i.e. in the case of 7 at ambient temperature) [11d,12]. A conspicuous example is 1Am which on employment of method c largely underwent [2+2] cycloreversion (cf. Section II.A.1.) [11d]. Ethanolysis of 7b at 20° to give 1Ab as another mild process has been mentioned but this variant has not been pursued any further [14].

2. [2+2] Cycloaddition of Nitroso Compounds to Ketenes.

Aromatic nitroso compounds 10 (R¹ = Ph. 4-RC₆H₄) are long known to react readily at room temperature with diphenylketene 11 ($R^2 = R^3 = Ph$), thereby producing the cycloadducts 1B along with their isomers 2 (the latter decompose spontaneously; Scheme 2). Early workers [3,15] have realized the low regioselectivity of this reaction only when submitting unsubstituted nitrosobenzene; on employment of 4-substituted derivatives, they obtained either oxazetidin-3-ones, e.g. 1Bb,d, or β -lactams such as 13c,d. However, a more recent study [16] has expectedly revealed that also in those cases the title reaction proceeds in both directions. So, the isomers $\mathbf{1B}$ and $\mathbf{2}$ ($R^2 = R^3 = Ph$) were found to have been formed in the ratio 84:16 (R¹ = Ph). $87:13 (R^1 = 4-MeC_6H_4), 79:21 (R^1 = 4-MeOC_6H_4), 32:68$ $(R^1 = 4-Me_2NC_6H_4)$ and 72:28 $(R^1 = 4-MeO_2CC_6H_4)$, respectively; the proportions of the elusive compounds 2 were based on the final products 13 [16].

Scheme 2 $R^{1}N=0 + 0=C=C$ R^{3} $R^{1}N=0$ $R^{2}R^{3}$ $R^{2}R^{3}$ $R^{2}R^{3}$ $R^{2}R^{3}$ $R^{3}R^{2}$ $R^{3}R^{3}$ $R^{2}R^{3}$ $R^{3}R^{3}$

1B: R¹/R²/R³/yield (%) [reference]

a Ph/Ph/Ph/63-65 [3], 45 [15], 60 [16]

b 4-ClC₆H₄/Ph/Ph/48 [15]

e 4-ClC₆H₄/4-ClC₆H₄/Ph/38 [15]

d 4-MeC₆H₄/Ph/Ph/38 [15]

e 4-MeOC₆H₄/Ph/Ph/- [16]

 $f = 4-Me_2NC_6H_4/Ph/Ph/-[16][a]$

g $4-MeO_2CC_6H_4/Ph/Ph/(72)$ [16] [b]

h t-Bu/t-Bu/H/61 [17]

i Ph/t-Bu/H/11 [17]

k CF₃/Ph/Ph/- [18]

[a] Formation detectable through [2+2] cycloreversion products **38/39** only (see Scheme 12). [b] Yield normalized to 100% (cf. 13e).

13: $R^{1}/R^{2}/R^{3}/y$ ield (%) [reference]

a Ph/Ph/Ph/- [3,15], 13 [16] [a]

b 4-MeC₆H₄/Ph/Ph/13 [16]

c 4-MeOC₆H₄/Ph/Ph/40 [15], 22 [16]

d 4-Me₂NC₆H₄/Ph/Ph/65 [3], 61 [16]

• $4-\text{MeO}_2\text{CC}_6\text{H}_4/\text{Ph/Ph/}(28)$ [16] [b]

[a] In ref. [15]: precursor 12 (13 not observed). [b] Yield normalized to 100% (cf. 1Bg).

In contrast to the foregoing, cycloadditions with tertbutylketene 11 (R² = t-Bu, R³ = H) occur regiospecifically, \rightarrow 1Bh,i, [17]. This excludes operation of the 'nearconcerted' mechanism suggested to accommodate the above results with diphenylketene [16]. Apart from 1Bi, the indolone derivative 14 (15% yield) and a 1:1 mixture

of nitrobenzene and azoxybenzene (totally 20%) have been isolated [17], while in the reaction of trifluoronitrosomethane 10 ($R^1 = CF_3$) with 11 ($R^2 = R^3 = Ph$) the oxazetidine 1Bk has been the only product mentioned [18]. Attempts to add $10 (R^1 = Ph)$ onto the parent ketene 11 $(R^2 = R^3 = H)$ have been reported unsuccessful [15].

3. Ineffectual Approaches.

A 1,2-oxazetidine has been invoked as one of the possible intermediates to account for the fragmentation products formed in the reaction of cis-1,2,3-triphenylaziridine with 3-chloroperbenzoic acid [19]. However, when this reaction was applied to the α -lactam 15, instead of the fourmembered ring 1Bh [and/or derivatives of its unstable isomer $2 (R^1 = R^2 = t - Bu, R^3 = H)$], the oxaziridine 16 and carbon monoxide were found (Scheme 3) [20a]. As for the

Scheme 3

O
H
$$t$$
-Bu
 t -Bu

mechanism of this transformation, a recent study on chiral 15 has demonstrated that loss of carbon monoxide is the first step; the resultant imine is then oxidized to 16 [20b]. This belies the 'aziridinone N-oxide theory' previously adhered to [20a].

1,2-Dioxetan-3-imines, which arise from photooxygenation of ketenimines [21], may be regarded as precursors to the oxazetidin-3-ones 1. Yet, their instability does not allow for the necessary Dimroth rearrangement, - 1, but rather results in [2+2] cycloreversion. This is shown here for the derivative 17 which on gentle warming gave tertbutyl isocyanate and pivalaldehyde (Scheme 3) [21b].

1,2-Oxazetidin-4-ones 2.

1. [2+2] Cycloaddition of Nitroso Compounds to Ketenes.

These oxazetidines are formed jointly with their isomers 1 and have therefore already been treated in Section I.A.2.

C. 1,2-Oxazetidin-3-imines 3.

1. [2+2] Cycloaddition of Nitroso Compounds to Ketenimines.

In contrast with diphenylketene [16], ketenimines 18 have been found to add onto nitroso compounds 10 regiospecifically, thereby affording the oxazetidines 3 in moderate to fair yield (Scheme 4) [23-26]. In no case has an

Scheme 4 18 10

R¹/R²/R³/R⁴/yield (%) [reference] 3:

Ph/Ph/Ph/Ph/- [22]

Ph/Ph/Ph/4-MeC₆H₄/60 [23a, 24]

4-BrC6H4/Ph/Ph/4-BrC6H4/60 [23a]

4-IC6H4/Ph/Ph/4-MeC6H4/33 [23b]

4-O₂NC₆H₄/Ph/Ph/4-MeC₆H₄/71 [23a]

Ph/Ph/Ph/4-O2NC6H4/60 [24]

Ph/Ph/Ph/Q/53 [23c]

 $4-Me_2NC_6H_4/Ph/Ph/4-MeC_6H_4/= [23b][a]$

 $4-Me_2NC_6H_4/Ph/Ph/4-O_2NC_6H_4/-$ [24] [a]

t-Bu/Ph/Ph/4-MeC6H4/83 [24]

1 t-Bu/Ph/Ph/4-O2NC6H4/73 [24]

t-Bu/t-Bu/H/Ph/24 [25]

n t-Bu/t-Bu/H/4-MeC6H4/19 [25]

 $t-Bu/t-Bu/H/4-MeOC_6H_4/2$ [25]

t-Bu/t-Bu/H/4-ClC6H4/35 [25]

t-Bu/t-Bu/H/4-O2NC6H4/29 [25]

t-Bu/t-Bu/H/2,6-Me₂C₆H₃/19 [25]

 $Ph/t-Bu/H/2,6-Me_2C_6H_3/-[25][a]$

 $Ph/Ph/Me/Me_{2}(t-Bu)Si/-[26][a]$

[a] Formation detectable through [2+2] cycloreversion products 39/46 only (see Scheme 17).

$$R = H, Me, Cl$$

$$R = H, Me, Cl$$

$$R = H + Me, Cl$$

$$R = H + Me + Cl$$

isomer 4 been detected. This specificity - unexpected in view of classical bond polarities - has been explained by photosensitization experiments (which showed a triplet nitroso species to be involved) [23b] as well as by FMO considerations [24,27]. Hence, doubt arises whether the oxazetidine 4a (see below Scheme 8) [28] is formed via the ketenimine route (path b) which has been put forward in ref [29] as the sole pathway.

The ease of the above cycloadditions depends on both the nitroso component 10 and the ketenimine 18. For example, the reactivity of 10 towards N-(4-nitrophenyl)diphenylketenimine 18 ($R^2 = R^3 = Ph, R^4 =$ $4-O_2NC_6H_4$) has been found to decrease in the order R^1 4-Me₂NC₆H₄ > Ph > t-Bu (relative rate of oxazetidine formation: 3i > 3f > 3l) [24]. This behavior matches observations in the ketene series including the fact that N-nitroso compounds do not react at all [3,17,24]. When comparing the cycloaddition of 2-methyl-2-nitrosopropane 10 ($R^1 = t$ -Bu) onto various N-arvl-tert-butylketenimines 18 ($R^2 = t - Bu$, $R^3 = H$, $R^4 = 4 - RC_6H_4$) - which, in contrast to the aforementioned reactions, requires elevated temperatures -, the reactivity sequence is $R = NO_2 > H$ > MeO (relative rate of oxazetidine formation: 3q > 3m > 30) [25]. The low yield of 30 is due to enhanced instability with respect to [2+2] cycloreversion (see Section II.C.1.).

As by-products deserve especial mention: (i) 1,4,2-diox-azolidin-3-imines 19 (R = H, Me, Cl) which may occur during the preparation of 3m,n,p (but which do not arise from these oxazetidines) [30], and (ii) the dihydroquinolone derivative 20 in the case of 2r [25]. This compound is an oxidation product of the respective ketenimine 18 (R² = t-Bu, R³ = H, R⁴ = 2,6-Me₂C₆H₃), but attempts to generate it by treating the ketenimine with oxidizing agents such as activated manganese(IV) and silver(I) oxides, 3-chloroperbenzoic acid, an azomethine N-oxide or 2-methyl-2-nitropropane remained unrewarded [31].

Cycloaddition experiments with N-alkylketenimines proved to be entirely unsatisfactory, $18 \, (R^2 = t \cdot Bu \text{ or Ph}, R^3 = H, R^4 = c \cdot C_6 H_{11}/R^2 = Ph, R^3 = H, R^4 = t \cdot Bu/R^2 = R^3 = Ph, R^4 = c \cdot C_6 H_{11}$), as well as with dimethyl-N-phenylketenimine $18 \, (R^2 = R^3 = Me, R^4 = Ph)$ [24], and in an attempt to react bis(ethoxycarbonyl)-N-phenylketenimine $18 \, (R^2 = R^3 = CO_2Et, R^4 = Ph)$, the 'rearranged ketenimine' 21 was the only product [24,32]. Finally, the desired oxazetidines 3 did not result from nitrosobenzene and tert-butyl-N-phenylketenimines that have a free ortho position in the phenyl ligand. The respective benzoxazole 23 and benzoxazine 24 which were obtained instead (Scheme 5; low yield) are likely to arise from a transient [4+2] cycloadduct 22 as shown in ref [25].

Scheme 5

R
$$= \text{C} = \text{C} + \text{Bu}$$
 $= \text{C} = \text{C} + \text{Bu}$
 $= \text{C} = \text{C} + \text{C}$

22
$$\longrightarrow$$
 R \longrightarrow $CH-t-Bu$ $+$ R \longrightarrow O \longrightarrow $NHPh$ H $t-Bu$ $R = H, Me$

2. [1+3] Cycloaddition of Isocyanides to Carbonyl Imines [33].

This approach to compounds of type **3** as exemplified in Scheme 6 [34] is rather an exception in that ring opening of oxaziridines occurs either at the N-O or the C-O bond. The formation of **3u** has been mistakenly commented on elsewhere [1b].

3. Cyclization of α -(Aminooxy)imidoyl Chlorides.

Ring closure by C-N bond forming of a linear precursor such as 28 has been reported only once (Scheme 7) [25].

The reaction might gain importance for the synthesis of 2-phenyl substituted derivatives akin to **3m** which are inaccessible by the method outlined in Section I.C.1. The starting anilinooxy compounds related to **27a** should be conveniently prepared by aminolysis of appropriate oxazetidinones such as **1Bi** (*cf.* Scheme 15) – provided there is no N-O bond breaking as shown in Scheme 13.

A C-N bond building reaction to be performed with α -(aminooxy) acids has likewise been proposed as an entry to 1 but not verified until now [7].

D. 1,2-Oxazetidin-4-imines 4.

Reaction of 3-Methylene-1,2-oxazetidines with Nitroso Compounds.

On heating the perfluoro substituted 3-methyleneoxazetidine 29 with trifluoronitrosomethane, the oxazetidin-4-imine 4a has been obtained (Scheme 8) [28]. Its formation may proceed through either pathway a or b, the latter being at variance with Section I.C.1. Since 29 arises from [2+2] cycloaddition of the nitroso compound to tetrafluoroallene [28], one might envisage a general route to 4 starting from allenes. However, attempts to obtain analogs of 29 (or the respective compounds 4 even directly) failed with the parent allene and trifluoronitrosomethane [35a] as well as with the educt couple nitrosobenzene/tetramethylallene [35b].

2. [1+3] Cycloaddition of Isocyanides to Azomethine N-Oxides (Nitrones) [33].

Using equimolar amounts of boron trifluoride etherate as catalyst, azomethine N-oxides 30 undergo cyclization with isocyanides 31 to afford the oxazetidines 4 (Scheme 9) [36-39]. Compounds 4b-f are unstable oils that could not be purified. The reaction occurs momentaneously even at -40° when $R^1 = R^2 = \text{alkyl}$, $R^3 = H$ and $R^4 = \text{alkyl}$, and proceeds only a little less fast for $R^4 = \text{Ph}$. Experiments with 30 where $R^3 \neq H$ have not been reported. Triethylamine quenches the catalyst which is also capable of ring opening the product (see Section II.D.2.). Mixtures

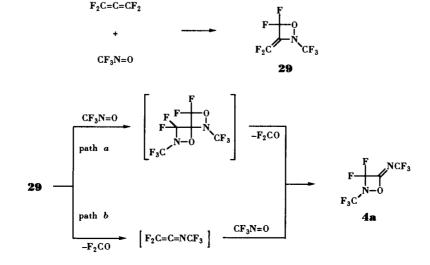
Scheme 9

- 4: $R^{1}/R^{2}/R^{3}/R^{4}/\text{yield (\%) [reference]}$
- **b** Me/t-Bu/H/c-C₆H₁₁/- [36a]
- e Me/t-Bu/H/Ph/- [36b, 37]
- d Et/i-Pr/H/c-C₆H₁₁/- [36b, 37]
- e t-Bu/H/H/c-C₆H₁₁/- [37]
- f t-Bu/t-Bu/H/t-Bu/=[37]
- t-Bu/t-Bu/H/c-C₆H₁₁/67 [38]
- h t-Bu/t-Bu/H/CH₂Ph/47 [38]
- t-Bu/t-Bu/H/Ph/25 [38]
- k t-Bu/t-Bu/H/4-O₂NC₆H₄/20 [39] [a]
- t-Bu/t-Bu/H/2,4,6-Br₃C₆H₂/- [39] [b]

[a] Besides 32a. [b] Besides 32b.

$$R^2 = 4 - ClC_6H_4$$
, $R^4 = c - C_6H_{11}$

Scheme 8



containing less reactive isocyanides 31 ($R^4 = 4-O_2NC_6H_4$ or 2,4,6- $Br_3C_6H_2$) require prolonged exposure to the catalyst and thus, on employment of 30 ($R^1 = R^2 = t$ -Bu, $R^3 = H$), the ring expanded products 32a,b were formed, respectively [39]. A compound of this type, viz. 32c, also resulted from the reaction of 30 ($R^1 = t$ -Bu, $R^2 = Ph$, $R^3 = H$) [39] or the corresponding oxaziridine [37] with 31 ($R^4 = t$ -Bu), the four-membered ring 4 remaining undetected in this case.

Further attempts to obtain oxazetidines 4 from aryl substituted educts 30 (including C,N-diaryl and C-aroyl-N-aryl derivatives) were likewise unsatisfactory [37] and gave products (if any) of different type, e.g. 33a [39] and 9d/34 [40]. Their actual mode of formation, also that of 33b, seems open to discussion [39].

3. Cyclization of α -(Hydroxyamino)nitriles.

A C-O bond building process to give a transient oxazetidine structure has been invoked to account for the formation of the α-oxoamide **9e**. This compound has been prepared from the nitrile **35** as shown in Scheme 10 [41]. As regards ring opening of **4m**, it is interesting to note that an α-oxoamide is not produced as a consequence of N-O bond cleavage in the case of **4g** and congeners (see Section II.D.2.) [38,39].

E. 1,2-Oxazetidine-3,4-diimines 5.

1. [1+1+2] Cycloaddition of Isocyanides to Nitroso Compounds [33].

There is an isolated example of this process, giving the unique structure 5a (Scheme 11) [18]. The result is perhaps owing to the particular nitroso component 10 ($R^1 = CF_3$) since 2-methyl-2-nitrosopropane 10 ($R^1 = t$ -Bu) reacts with 31 in a 1:1 fashion to yield the diaziridinones 37 along with some nitroalkane and carbodiimide [42].

Scheme 11

$$R^{1} = CF_{3}$$
 $R^{4} = Me$
 $F_{3}C$
 $R^{1} = cF_{3}$
 $R^{4} = Me$
 $F_{3}C$
 $R^{1} = t - Bu$
 $R^{4} = i - Pr$,
 $t - Bu$
 $R^{4} = i - Bu$
 $R^{4} = i - Bu$
 $R^{5}C$
 $R^{7}C$
 $R^{1} = t - Bu$
 $R^{4}C$
 $R^{5}C$
 $R^{5}C$
 $R^{7}C$
 $R^{7}C$

II. Reactions.

A. 1,2-Oxazetidin-3-ones 1.

1. [2+2] Cycloreversion.

Like all 1,2-oxazetidines, compounds of type 1 are capable of undergoing thermal decomposition with N-O and

Scheme 12

(for R¹, R², R³: see Schemes 1,2)

$$\begin{array}{c} RO & Ph \\ + N - O & \hline \\ Ph & \hline \\ Ph & \hline \\ Ph & \hline \\ Ph & \hline \\ PhNHCO_2R + (PhNH)_2CO \\ + OCPh_2 & \hline \\ R = alkyl & \hline \end{array}$$

Ph-CO-CO-NMe2

C-C bond cleavage to give the products **38** and **39** (Scheme 12). The reaction is known since the discovery of class **1** [3] and has been encountered several times by later workers in the field [9,11d,16-18, 43]. It has also been the object of a theoretical study, which confirms the preference of N-O/C-C over C-N/C-O bond breaking [44]. The ease of fragmentation is greatly influenced by the *N*-substituent: **1Bi** is more reactive than **1Bh** [17], and in the case of **1Ba,d-f**, the order is **1Bf** > **1Be** > **1Bd** > **1Ba** [16].

A formally related decomposition has been observed on alkaline hydrolysis of the onium structure in 1' [43].

2. Ring Opening at N-O Bond.

Oxazetidines 1 having R^1 = aryl, e.g. 1Aa(Ba), can be converted into α -hydroxycarboxamides (Scheme 13). This has been accomplished by:

Scheme 13

- (i) Mild hydrogenation which gives α-hydroxybenzilanilide (40a) [7,15] (with lithium aluminum hydride, however, the reduction proceeds to aniline and the glycol 41 [7], while with sodium borohydride azoxybenzene was isolated [15]).
- (ii) Anhydrous hydrogen chloride which furnishes 2-and 4-chloroanilides such as 40b, c [7] (the isomer 40b has been shown [7] to be the material previously obtained from 1Aa(Ba) but erroneously thought to be α -chlorobenzilanilide [3]).
- (iii) Methanolysis which affords a 1:3 mixture of compounds 40d and 42 [16].

The results shown under (ii) and (iii) clearly point to a nitrenium ion-like intermediate [16].

Oxazetidinones 1 that have at least one hydrogen ligand attached to the α -position of the N-substituent can undergo base-induced ring enlargement to give the oxazolidinones 43 (Scheme 14) [9,10,45]. This transformation has a

Scheme 14

 $R^{1}/R^{2} // R^{3}/R^{4}$:

lAc	43a	Me/Me // Ph/Ph
g	b	Ph/Me // 4-MeC ₆ H ₄ /4-MeC ₆ H ₄
h	e	Ph/H // 4-MeOC ₆ H ₄ /4-MeOC ₆ H ₄
i	ď	Ph/Ph // 4 -MeOC ₆ H ₄ / 4 -MeOC ₆ H ₄ $-[CH2]5- // 3$ -thienyl/3-thienyl
1	e	H/H // 2-thienyl/CH ₂ Ph
•	f	c-C ₃ H ₅ /Me // 4-ClC ₆ H ₄ /Me
S.	g	Ph/H // 4-PhOC ₆ H ₄ /Me
11	h	

close parallel in 1,2-diazetidin-3-one chemistry [46] and, in addition, bears a formal resemblance to the conversion of 4k,l into 32a,b (see below Scheme 22) [39]. The nature of R1 and R2 in the starting oxazetidines 1 has a tremendous effect on the ease of this ring expansion whereas R3 and R4 are of little or no moment. So, 1Ag-i are increasingly prone for giving the respective products 43b-d, 1Ai reacting even at room temperature [9,10a]. Bases used for this ring enlargement were benzylamine [9] and imidazole [10a]. Ouite remarkably, the primary amine did not convert **1Ag-i** into the expected N-benzylcarboxamides of type 27 (see following Section) [9]. The same applies to isopropylamine which transformed 1u into 43h [45]. Ring transformations of **1Ac,1,0,s** (i.e. of derivatives lacking a phenyl group on the N-substituent) failed with imidazole but could be effected by DBU in boiling benzene to give

Scheme 15

lAw

44

43a,e,f [10b] and **43g** [45], the 2-methyloxazetidine **1Ao** reacted faster than **1Ac** or **1Al**. Yields are generally good with this kind of interconversion.

3. Ring Opening at C-N Bond.

Treatment of 2-alkyl-1,2-oxazetidin-3-ones such as 1Ad,o with a primary amine led to α -(aminooxy)carbox-amides 27 (Scheme 15) [11b,d]. The reaction is hampered by bulky substituents on the four-membered ring. Thus, 27b was formed in significantly lower yields than 27c (28% vs. 92%), and representatives such as 1Ae or those having two o-tolyl groups in position 4 did not react at all [11d] (see, however, the conversion of 3n into 27d as shown in Scheme 18 [25]).

A different sort C-N bond breaking has been observed with the elaborately substituted oxazetidine 1Aw: the formation of benzonitrile along with the open-chain product 44 [8] reminds of the Beckmann fragmentation.

4. Ring Opening at C-O Bond.

In contrast to 2-aryl-1,2-oxazetidin-3-ones such as 1Aa(Ba), the N-O bond of 2-alkyl derivatives remains unaffected on hydrogenation or treatment with hydrogen chloride. This is exemplified by the behavior of compounds 1Ab,n,t which gave the ring open products 45a [7], 45b (recyclized on silica gel) [45] and 8c [45], respectively (Scheme 16). A related case of ring cleavage, the formation of 8b [12], has already been mentioned in Section I.A.1.

B. 1,2-Oxazetidin-4-ones 2.

1. [2+2] Cycloreversion.

As pointed out in Section I.A.2., compounds of type 2 rapidly decompose after their formation to give carbon

dioxide and an azomethine 12 [3,15,16].

C. 1,2-Oxazetidin-3-imines 3.

1. [2+2] Cycloreversion.

As a rule, the title oxazetidines 3 can revert into a carbodiimide 46 and a carbonyl compound 39 (Scheme 17).

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Scheme 17

(for R1,R2,R3,R4: see Scheme 4)

This reaction which in certain cases vitiates the synthetic approach to 3 (see Section I.C.1.) has been mentioned by all workers in the field [22-26,34]. Ring opening commences with N-O bond breaking to leave a nitrenium-like intermediate (cf. ref [16]). Therefore substituents that are capable of stabilizing a positive charge on the former ring nitrogen favor the cycloreversion. The crucial ligands are R^1 and R^4 :

- (i) The propensity for giving 39 and 46 increases in the order 3k < 3b < 3h. Compound 3b decomposed in boiling chloroform [24] (as did 3a [22]), while 3h proved entirely elusive already at lower temperature [23b].
- (ii) Comparing the ease of cycloreversion of **3m-r**, the sequence **3q** < **3p** < **3m,n** < **3r** < **3o** was observed [25]. A 2,6-xylyl [25] or a silyl group [26] attached to the exocyclic nitrogen, in connection with a phenyl group at N-2, confers instability upon the respective oxazetidines **3s,t** even at room temperature.

2. Ring Opening at C-N Bond.

The semicyclic amidine function of 2,4-dialkyl-1,2-ox-azetidin-3-imines as in **3n** is readily hydrolyzed by mineral acid to give the carboxamide **27d** in high yield (Scheme 18) [25]. Analogs of **3n** as listed in Scheme 4 behave similarly [24]. The amide type **27** also arises on aminolysis of **1** (see Section II.A.3.) [11b,d].

Scheme 18

$$Me \xrightarrow{N} H t - Bu \xrightarrow{H_30^+} Me \xrightarrow{NH-C0-CH-\iota-Bu} 27d$$

3. Ring Opening at C-O Bond.

In contrast to the oxazetidine 3n, the 4,4-diphenyl congener 3k undergoes C-O bond rupture when treated with

mineral acid under comparable conditions. The putative carbenium intermediate stabilizes by linking to the *p*-tolyl substituent (Scheme 19) [24]. Attempts to obtain the analog of 47 from the 2-phenyl derivative 3b met with failure [24].

Scheme 19

D. 1,2-Oxazetidin-4-imines 4.

1. [2+2] Cycloreversion.

Quite expectedly, thermolysis of compounds 4 gives rise to the formation of an azomethine 12 and an isocyanate 48 (Scheme 20) [28,36a,37,38]. However, this straightforward reaction may be accompanied by a side process involving

$$R^{2}$$
 $N=0$
 $N=$

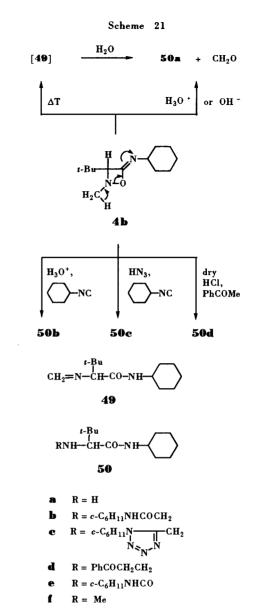
the 2-substituent of 4. A typical example is shown below in Scheme 21 [37].

2. Ring Opening at N-O Bond.

The O-imidoylhydroxylamine function present in 4b has a pronounced tendency to form the stable amide grouping. Deprotonation which follows N-O bond breaking occurs regiospecifically on the methyl group, the hydrogen atom on C-3 remaining unaffected (Scheme 21). This

transformation is achieved both thermally [37] and by acid [36a] and base [37]. The primary product 49 is usually not found; so, in the case of thermolysis, besides the urea 50e the 'parent' amide 50a and formaldehyde were obtained [37]. In acidic media, 4b behaves like the protonated azomethine 49 and can therefore be converted into derivatives such as 50b,c [36a] and 50d [37]. The smooth conversion of 4b into the diamide 50b, in conjunction with the fact that the [1+3] cycloaddition of Section I.D.2. is also proton-catalyzed [37], led to an understanding [36,37] of the puzzling one-pot synthesis of this compound from N-methylhydroxylamine, pivalaldehyde and cyclohexyl isocyanide in aqueous mineral acid (Ugi reaction) [47].

Remarkably, compound 4g on treatment with dilute acid exactly follows the rearrangement pattern of 4b, i.e., hydrogen on C-3 is not abstracted, but a methyl group of the 2-tert-butyl substituent migrates to the adjacent nitro-



gen [37-39]; ensuing hydrolysis then gives acetone and the amide 50f (Scheme 22 [48]). In summary, the fate

of 2-alkyl groups of ring opened compounds 4 directly refers to long known observations in oxaziridine chemistry [49]. As regards action of alkali hydroxide, the behavior of 4g has not been described; in the case of 4a, fluoride ion (along with some unreacted starting material) was the only product mentioned [28]. Attempts to photolyze or photochlorinate 4a failed while treatment with anhydrous hydrogen fluoride readily produced a secondary amine of undetermined structure [28].

Boron trifluoride etherate has been found to cause ring transformation of **4k**,**l** into the imidazolidinones **32a**,**b** (*cf.* Section I.D.2.) [39]. However, on attempting this interconversion with the oxazetidines **4g-i**, the amide **50f** and its respective analogs were formed instead [39].

Examples of ring opening at the N-O bond without affecting the 2-substitutent include the supposed intermediate 4m depicted in Scheme 10 (see above) [41] and a likewise putative derivative 4 ($R^1 = Ph$, $R^2 = 4-ClC_6H_4$, $R^3 = H$, $R^4 = c-C_6H_{11}$) which has been regarded the precursor of 9d (Scheme 9) [40].

3. Ring Opening at C-O Bond.

There is no definite instance as yet because the formation of compound **34** (Scheme 9) [40] does not necessarily proceed through the suggested oxazetidine stage as has been demonstrated in detail elsewhere [39].

E. 1,2-Oxazetidine-3,4-diimines 5.

1. [2+2] Cycloreversion.

Thermal decomposition of **5a**, the only member of this class known, has been performed *in vacuo* at 350-400° to yield the carbodiimide **46a** and methyl isocyanate (**48a**) (Scheme 23) [18].

Scheme 23

MeN NMe ΔT F_3C CF₃N=C=NMe

46a

+
O=C=NMe

48a

III. Spectroscopic Properties.

Literature data on spectroscopic behavior are available in the cases of compounds 1, 3 and 4.

1. Infrared Spectra.

As compared to β -lactams, the carbonyl band of 1,2-oxazetidin-3-ones **1** is registered at slightly higher frequencies. The majority of derivatives **1** listed in Schemes 1 and 2 absorb in the range 1770-1785 cm⁻¹ [7,9,10a,11,12, 15-17]. Still close to these values are the data of **1Al** (1790 cm⁻¹ [11d]), **1Be** (1764 cm⁻¹ [16]) and **1Bi** (1760 cm⁻¹

[17]) whereas compound **1Aw** showed 1747 cm⁻¹ [8]. The absorption of derivative **1Bk** [18] has not been reported.

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The C=N bond of most 1,2-oxazetidin-3-imines 3 tabulated in Scheme 4 aborbs at 1704-1715 cm⁻¹ [22,23a,c, 24,25]. Similar results have been found for 3f (1700 cm⁻¹ [24]) and 3r (1725 cm⁻¹ [25]). The fluorine-containing member 3u (Scheme 6), however, showed 1740 cm⁻¹ [34]. As expected, the C=N absorption of the isomeric 1,2-oxazetidin-4-imines 4 is shifted to higher frequencies. Save 4i [38] and 4k [39] (Scheme 9) which absorb at 1735 and 1730 cm⁻¹, respectively, compounds 4b-h,l exhibit the imino band in the range 1740-1758 cm⁻¹ [36-39]. Only in the case of 4a (Scheme 8) this band appears far outside at 1815 cm⁻¹ [28].

2. Nuclear Magnetic Resonance Spectra.

The ¹H nmr spectral data have been reported for nearly all compounds 1 listed in Schemes 1 and 2 [8,9,10a,11,12, 16,17]. Data are also available for several derivatives 3 (Scheme 4) [24,25] and 4 (Scheme 9) [36a,37-39]. Regarding ¹³C nmr spectroscopy of 1 [17], 3 [24,25] and 4 [39], less copious material is at hand. Table I summarizes the most prominent features. These include high field shift of both 3-H in 4 (with respect to 4-H in 1 and 3) and C-3 in 4

Table I

NMR Shift Values (δ) of Oxazetidine-attached Hydrogen and
Oxazetidine Carbon for 1.3 and 4 [a]

Compound	4-H or 3-H	C-3/C-4	Reference
lAv	6.30		[11c]
lAw	5.21		[8]
1Bh	4.78	168.9 / 97.2	[17]
l Bi	5.10	163.5 / 99.1	[17]
3Ь	_	156.3 / [b]	[24]
3f	_	156.9 / [Ъ]	[24]
3k	_	160.9/[Ъ]	[24]
31	_	161.9 / 95.5	[24]
3m	5.18	162.7 / 93.9	[25]
3q	5.18	162.8 / 93.3	[25]
3r	4.73	159.6 / 96.1	[25]
4b	3.68		[36a]
4g	3.83		[38]
4Ĭ	4.07		[37]
4k	4.11	76.0/160.4	[39]

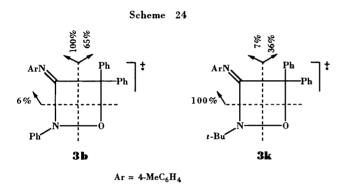
[a] Determined in deuteriochloroform except for IAW (perdeuterated dimethyl sulfoxide). [b] Signal not observed.

(compared to C-4 in 1 and 3). In the ¹⁹F nmr spectrum of 3u (Scheme 6), the $C(CF_3)_3$ group was found at $\delta - 12.1$ relative to external trifluoroacetic acid [34].

3. Mass Spectra.

Molecular ions were observed in the EI mass spectra of all compounds 1, 3 and 4 studied, viz. 1Aa(Ba) and 1Ab [7], 1Bh,i [17], 3b,k [24], 3m,n,p,r [25], 4a [28], 4g [38], 4i [37] and 4k [39]. Major fragmentation paths constitute

[2+2] cycloreversion into both possible directions, i.e. into the compound couples: (i) nitroso component 10/ketene 11 and isocyanate 38/carbonyl compound 39 for 1; (ii) 10/ketenimine 18 and 39/carbodiimide 46 for 3; (iii) 10/18 and 38/azomethine 12 for 4. In some cases, preference for one direction has been reported. For example, the 2-alkyl derivative 1Bh (Scheme 2) fragments favorably into 10 and 11 while with the 2-phenyl analog 1Bi reversion into 38 and 39 predominates [17]. The same dependence on the 2-substituent is reflected by the cleavage properties found in the spectra of 3b and 3k (Scheme 24) [24]. For the closely related compounds 1Aa(Ba) and



(relative abundances of [2+2] cycloreversion fragments)

1Ab the occurrence of both ring fissions has been quoted indiscriminately; yet, a ready distinction is given by either elimination of an NMe fragment from 1Ab or loss of carbon monoxide from 1Aa(Ba) [7].

A noteworthy feature in the behavior of ionized compounds $\bf 3$ and $\bf 4$ is the absence of any isocyanide extrusion (via [1+3] cycloreversion). This contrasts with the fragmentation of the related 1,2-diazetidin-3-imine structure [50].

Concluding Remarks.

From continuous work during the past two decades, the title oxazetidines have emerged as a heterocyclic class of considerable attraction. But despite much progress, achieved in particular with compounds 1 and 3, further efforts are required in the field of synthesis and reactivity studies; certain ring substitution patterns have not been exemplified, and quite a number of preparative procedures are of either unknown or limited scope. A major aim may be exploration of the synthetic potentials of α -(hydroxyamino) acid derivatives [51] and metallated ketenimines [52]. A project of a different kind - announced some years ago [45] - includes investigation of biological properties of compounds 1.

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